

This Page Is Inserted by IFW Operations
and is not a part of the Official Record

BEST AVAILABLE IMAGES

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

IMAGES ARE BEST AVAILABLE COPY.

**As rescanning documents *will not* correct images,
please do not report the images to the
Image Problem Mailbox.**



Europäisches Patentamt
European Patent Office
Office européen des brevets



(11) Publication number:

0 558 905 A1

(12)

EUROPEAN PATENT APPLICATION

(21) Application number: 93100990.6

(51) Int. Cl.⁵: C09D 167/00, //C08J11/22

(22) Date of filing: 22.01.93

(30) Priority: 23.01.92 US 824615

(43) Date of publication of application:
08.09.93 Bulletin 93/36

(84) Designated Contracting States:
BE DE FR GB IT NL

(71) Applicant: THE SHERWIN-WILLIAMS
COMPANY
101 Prospect Avenue, N.W.
Cleveland Ohio 44115-1075(US)

(72) Inventor: Rao, Madhukar
10246 Deer Run
Brecksville, Ohio 44141(US)
Inventor: Tomko, Richard F.
3677 Tara Lynn Drive
North Olmsted, Ohio 44070(US)
Inventor: Sayre, Daniel R.
Bldg. 111, 185 U.S. Rte. 206 Oakwood
Village-Apt.6
Flanders New Jersey 07836(US)
Inventor: Lesney, William B.
1137 Newcastle
Westchester, Illinois 60154(US)

(74) Representative: Abitz, Walter, Dr.-Ing. et al
Abitz, Morf, Gritschneider Freiherr von
Wittgenstein Postfach 86 01 09
D-81628 München (DE)

(54) Aqueous coating compositions from polyethylene terephthalate.

(57) An aqueous coating composition is prepared from (optionally waste) polyethylene terephthalate. The polyethylene terephthalate is first reacted with an alcohol and then with carboxylic acids or their anhydrides to form a low-molecular-weight polyester with an acid value greater than 30, which is then neutralized with a weak base and added to water. Preferably ethylenically unsaturated monomers are subsequently polymerized in the presence of this polyester.

EP 0 558 905 A1

BACKGROUND OF THE INVENTION

This invention relates to novel aqueous coating compositions which utilize polyethylene terephthalate (PET) as a raw material for producing the film-forming resin for such coatings. Most preferably, the PET is recycled or reclaimed PET from plastic articles such as two-liter beverage bottles.

Plastics such as PET account for about 7-8 weight percent, and about 20 volume percent, of the world's solid waste. As a result, much legislation has been proposed and/or adopted requiring the recycling of plastics.

PET is the primary ingredient in plastic articles such as two-liter beverage bottles and the like. In the U.S., PET is the plastic most often recycled. The biggest uses for recycled PET are as fibers in carpeting and insulation. Recycled PET is also used in bathroom equipment and blow-molded bottles.

Processes for recycling PET beverage bottles into usable raw materials for manufacturing unsaturated polyester resins are known. For example, Eastman Chemicals Publication No. N-262A entitled Unsaturated Polyester Resins Based on Reclaimed Polyethylene Terephthalate (PET) Beverage Bottles, Calendine et al. (1984), teaches a process for converting PET beverage bottles into useful intermediates for the synthesis of unsaturated polyesters. The unsaturated polyesters are further taught as useful as raw materials for producing unreinforced clear castings and fiber-glass reinforced laminates.

A second Eastman Chemicals Publication, No. N-292B, entitled Aromatic Polyols From Reclaimed Polyethylene Terephthalate, (1987) teaches the reclamation of PET for production of aromatic polyester polyols which are useful in making rigid polyurethane polyisocyanurate foams.

U.S. Patent 4,223,068 (Carlstrom et al.) teaches the use of the digestion product of polyalkylene terephthalate scraps with organic polyol for the production of rigid polyurethane foams.

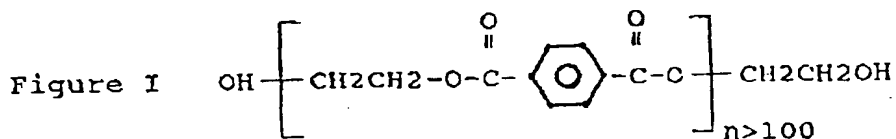
U.S. Patent 4,417,001 (Svoboda et al.) teaches the production of low smoke isocyanurate modified polyurethane foams which are prepared from polyols which are the digestion product of digesting polyalkylene terephthalate scraps and organic polyols.

U.S. Patent 4,048,104 (Svoboda et al.) teaches the preparation of polyisocyanate prepolymers and polyurethane adhesives and foams wherein the prepolymers are prepared by reacting organic polyisocyanate with polyols which are the digestion product of polyalkylene terephthalate scraps and organic polyols.

SUMMARY OF THE INVENTION

This invention relates to novel aqueous coating compositions which utilize PET as a raw material for producing the film-forming resin for such coatings. Preferably, the present invention relates to aqueous coatings derived from reclaimed PET and to a process for producing such coatings. Using reclaimed PET benefits the environment by reducing the amount of solid waste dumped at landfills. Using reclaimed PET benefits this process in that it is a relatively inexpensive raw material which, as is shown herein, produces an excellent coating composition.

In accordance with the present invention, PET resin (or an equivalent polyalkylene terephthalate resin), typically having a structure as shown in Figure 1:



is first digested into lower molecular weight polymeric units through an alcoholysis reaction. The digestion product of the alcoholysis reaction is then further reacted with an acid-functional reactant, an anhydride or an isocyanate to yield a resin composition suitable for use in coating compositions. By varying the amounts and types of acid-, isocyanate or hydroxy-functional reactants according to the teachings herein, one can formulate a variety of coatings systems including polyurethanes, high acid value, water-reducible coatings and low acid value, solvent-based coatings. Additionally, further chemical modifications are applicable and are further exemplified herein.

Accordingly, it is an object of this invention to teach the use of polyethylene terephthalate as a raw material for the production of coating compositions.

It is a further object of this invention to teach aqueous coating compositions which utilize reclaimed PET as a raw material.

These and other objects will become more readily apparent from the detailed description, examples and claims which follow below.

DETAILED DESCRIPTION OF THE INVENTION

As stated above, the present invention relates to novel aqueous coating compositions comprising PET as the starting material for the production of a film-forming resin,

1. PET SOURCE

The actual source of PET usable herein is not of critical importance to this invention. "Virgin" PET, that is PET which is commercially produced specifically as a raw material, is acceptable from a chemical standpoint for use herein. Likewise, recycled or reclaimed PET is acceptable from a chemical standpoint. At the time of this application, there are advantages to the environment (reduction of solid waste) and to the economics of this process (recycled PET is much less expensive than virgin PET) by using recycled or reclaimed PET; and, there are no performance disadvantages to using recycled PET versus virgin PET. As a consequence, recycled or reclaimed PET is a preferred starting material though it should be appreciated that any source of relatively pure PET is acceptable.

Typically, the sources for PET are many and varied. One source of either virgin or recycled PET is material from PET polymer manufacturers. A second source of PET is excess PET from the operations of the beverage bottle manufacturers. A third source is private entrepreneurs dealing in reclaimed PET. A fourth source is community reclamation and recycling centers. A preferred source of PET is recycled PET beverage bottles.

For purposes of this invention, the PET should be provided in a comminuted form. It can be flaked, granulated, ground to a powder or pelletized. Preferred is flaked PET. The only constraint placed on the PET at this point is that it is relatively pure; that is, there should not be a level of impurities above about one (1) weight percent nor should there be any appreciable level of impurities which are chemically reactive within this process. PET which is acceptable for use herein should have the following characteristics:

Intrinsic Viscosity	0.65-0.75
Moisture	<1.0%
Colored PET content	<400ppm
High Density Polyethylene (HDPE)	<100ppm
Adhesives	<500ppm
Aluminum	<10ppm

2. CHEMISTRY OF PET

PET is comprised of repeating units of ethylene glycol and terephthalic acid connected by ester linkages. Figure 1, above, shows a typical PET molecule. Each repeating unit of PET has a weight average molecular weight of 192 with one equivalent of ethylene glycol and one equivalent of terephthalic acid. By reacting PET with either an alcohol or with an acid, it is possible to reduce the average chain length of the PET molecules.

a. Alcoholysis of PET

The chemistry of PET is such that an equilibrium exists between PET, water, ethylene glycol (EG) and terephthalic acid (TPA). This equilibrium makes it possible to substantially reverse the polymerization process and depolymerize PET into its starting materials. The Eastman Chemical publications cited above refer to the process of depolymerizing PET as "glycolysis". That process comprises the catalytic reaction of PET with a polyol. As further defined and exemplified below, it should be appreciated that hydroxy-functional materials having OH functionality greater or less than two can be effectively utilized in the present process; thus, the present process is herein referred to as "alcoholysis". In the context of the present invention, reference to "alcohol" should be understood to refer to both mono- and poly-functional alcohols unless specifically taught otherwise.

The number of OH equivalents from the alcohol should be equal to, or in excess of, the number of equivalents of ethylene glycol from the PET. Preferably, the ratio of equivalents should be between about 1:1 to about 3:1, more preferably about 1:1 to about 1.5:1 moles of OH equivalents per mole of PET.

Suitable catalysts for alcoholysis of PET include the traditional transesterification catalysts including stannous octoate, calcium hydroxide, calcium formate, lithium hydroxide, barium hydroxide, sodium hydroxide, sodium methoxide, manganese acetate tetrahydrate, and polymeric diorganotin catalysts such as dibutyl tin oxide (tradename Fascat, available from M&T Chemicals). Most preferred is dibutyl tin oxide. If used, the catalyst should be present in an amount of from about 0.2 weight % to about 1.5 weight % based upon the total weight of the PET and alcohol.

When PET and a alcohol are reacted together in the presence of the above catalyst and heat, the high molecular weight PET molecule is broken down into shorter chain fragments. This is accomplished through chain attack and exchange by the alcohol with the ethylene glycol units of the PET molecule. This attack and exchange continues to occur until a new equilibrium is established between the PET, the shorter chain length PET, the shorter chain length PET substituted with the alcohol, the alcohol and ethylene glycol. Figure II shows the typical products of alcoholysis of PET with a representative polyol:

Figure II:



As can be seen from Figure II, subsequent to alcoholysis all remaining PET fragments and products in equilibrium therewith are hydroxyl terminated. As described further below, they can be reacted with acids, anhydrides, fatty acids, isocyanates and the like to form excellent coating compositions.

a.1. Alcohols for use in Alcoholysis of PET

Typically, the alcohols will have number average molecular weights of below about 4000 and typical number average molecular weights will range from about 30 to about 4000, and especially 100 to about 400. Methods of preparing alcohols are well known in the art and the method of preparation of the alcohols is not critical to the practice of this invention.

Suitable alcohols include the C1-C22 linear and branched saturated and unsaturated alcohols including, for example, methanol, ethanol, propanol, butanol, hexanol, linoleyl alcohol, trimethylolpropane diallyl ether, allyl alcohol, 2-mercapto ethanol and the like. Additionally useful alcohols include the hydroxy-functional polyethers, polyesters, polyurethanes, polycaprolactones, etc. as generally discussed in Sections a.1.a through a.1.e. below.

a.1.a. Saturated and unsaturated polyols include glycerol, castor oil, ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, Bisphenol A tetraethoxylate, dodecahydro Bisphenol A, 2,2'-thio diethanol, dimethylol propionic acid, acetylenic diols, hydroxy-terminated polybutadiene, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenehexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolhexane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol, etc.

a.1.b. Polyether polyols are well known in the art and are conveniently prepared by the reaction of a diol or polyol with the corresponding alkylene oxide. These materials are commercially available and may be prepared by a known process such as, for example, the processes described in Encyclopedia of Chemical Technology, Volume 7, pages 257-262, published by Interscience Publishers, Inc., 1951. Representative examples include the polypropylene ether glycols and polyethylene ether glycols such as those marketed as NIAX Polyols from Union Carbide Corporation.

a.1.c. Another useful class of hydroxy-functional polymers are those prepared by condensation on polymerization reaction techniques as are well known in the art. Representative condensation polymerization reactions include polyesters prepared by the condensation of polyhydric alcohols and polycarboxylic acids or anhydrides, with or without the inclusion of drying oil, semi-drying oil, or non-drying oil fatty

acids. By adjusting the stoichiometry of the alcohols and the acids while maintaining an excess of hydroxyl groups, hydroxy-functional polyesters can be readily produced to provide a wide range of desired molecular weights and performance characteristics.

The polyester polyols are derived from one or more aromatic and/or aliphatic polycarboxylic acids, the anhydrides thereof, and one or more aliphatic and/or aromatic polyols. The carboxylic acids include the saturated and unsaturated polycarboxylic acids and the derivatives thereof, such as maleic acid, fumaric acid, succinic acid, adipic acid, azelaic acid, and dicyclopentadiene dicarboxylic acid. The carboxylic acids also include the aromatic polycarboxylic acids, such as phthalic acid, isophthalic acid, terephthalic acid, etc. Anhydrides such as maleic anhydride, phthalic anhydride, trimellitic anhydride, or Nadic Methyl Anhydride (brand name for methyl bicyclo [2.2.1] heptene-2,3-dicarboxylic anhydride isomers) can also be used.

Representative saturated and unsaturated polyols which can be reacted in stoichiometric excess with the carboxylic acids to produce hydroxy-functional polyesters include the diols taught in a.1.a. and a.1.b., above.

Typically, the reaction between the polyols and the polycarboxylic acids is conducted at about 120 °C to about 200 °C in the presence of an esterification catalyst such as dibutyl tin oxide.

a.1.d. Additionally, hydroxy-functional polymers can be prepared by the ring opening reaction of epoxides and/or polyepoxides with primary or, preferably, secondary amines or polyamines to produce hydroxy-functional polymers. Representative amines and polyamines include ethanol amine, N-methylethanol amine, dimethyl amine, ethylene diamine, isophorone diamine, etc. Representative polyepoxides include those prepared by condensing a polyhydric alcohol or polyhydric phenol with an epichlorohydrin, such as epichlorohydrin, usually under alkaline conditions. Some of these condensation products are available commercially under the designations EPON from Shell Chemical Company, and methods of preparation are representatively taught in U.S. patents 2,592,560; 2,582,985 and 2,694,694.

a.1.e. Other useful hydroxy-functional polymers can be prepared by the reaction of an excess of at least one alcohol, such as those representatively described above, with isocyanates to produce hydroxy-functional urethanes. Representative mono-functional isocyanates include allyl isocyanate and tolyl isocyanate. Representative polyisocyanates include the aliphatic compounds such as ethylene, trimethylene, tetramethylene, pentamethylene, hexamethylene, 1,2-propylene, 1,2-butylene, 2,3-butylene, 1,3-butylene, ethylidene and butylidene diisocyanates; the cycloalkylene compounds such as 3-isocyanato methyl-3,5,5-trimethyl cyclohexylisocyanate, and the 1,3-cyclopentane, 1,3-cyclohexane, and 1,2-cyclohexane diisocyanates; the aromatic compound such as m-phenylene, p-phenylene, 4,4'-diphenyl, 1,5-naphthalene and 1,4-naphthalene diisocyanate; the aliphatic-aromatic compounds such as 4,4'-diphenylene methane, 2,4- or 2,6-toluene, 4,4'-toluidine, and 1,4-xylylene diisocyanates; benzene 1,3-bis (1-isocyanato-1-methyl ethyl); the nuclear substituted aromatic compounds such as dianisidine diisocyanate, 4,4'-diphenylether diisocyanate and chlorodiphenylene diisocyanate; the triisocyanates such as triphenyl methane-4,4',4''-triisocyanate, 1,3,5-triisocyanate benzene and 2,4,6-triisocyanate toluene; and the tetraisocyanates such as 4,4'-diphenyl-dimethyl methane-2,2'-5,5'-tetraisocyanate; the polymerized polyisocyanates such as tolylene diisocyanate dimers and trimers, and other various polyisocyanates containing biuret, urethane, and/or allophanate linkages. The isocyanates and the alcohols are typically reacted at temperatures of 25 °C to about 150 °C to form the hydroxy-functional polymers.

Especially preferred hydroxy-functional materials in the practice of this invention are mono-functional alcohols such as trimethylolpropane diallyl ether and allyl alcohol; and diols and triols such as ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenehexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolethane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol; and fixtures thereof.

Most preferred are trimethylolpropane diallyl ether, propylene glycol, ethylene glycol, diethylene glycol, and mixtures thereof. It should be appreciated that other alcohols should be considered equivalents of those named herein.

b. Further Reactions of the Alcoholysis Products

As discussed briefly above, the product of the alcoholysis reaction is further reacted to produce a

polyester product useful in a coating composition. Since the alcoholysis reaction products are hydroxy-functional, they can be further reacted with anhydrides, acids, fatty acids and isocyanates including those taught below to produce exceptional coating compositions. By controlling the levels and amounts of reactants, as discussed below, one can formulate either high acid value or low acid value systems from the alcoholysis reaction products. The products of such reactions include alkyds and polyesters which can be air or bake dried or which can be further mixed, reacted or modified to create dispersions of emulsion polymers using the alkyds or polyesters as dispersing media and acrylic modified alkyds and polyesters.

Suitable acid-functional materials include mono-functional acids such as benzoic, crotonic and sorbic acids; and acids having an acid functionality on average of at least two, such as phthalic acid, succinic acid, adipic acid, azelaic acid, maleic acid, fumaric acid, trimellitic acid, trimesic acid, naphthalene dicarboxylic acids, carboxy-terminated polybutadiene, benzophenone tetracarboxylic dianhydride, 4,4'-dicarboxy diphenoxy ethane, and the hydroxy carboxylic acids of piralactone. Other suitable acids include the saturated acids such as butyric, caproic, caprylic, capric, lauric, myristic, palmitic, stearic, 12-hydroxystearic, arachidic, behenic and lignoceric acids; the unsaturated acids such as palmitoleic, oleic, ricinoleic, linoleic, linolenic, eleostearic, licaric, gadoleic and eracic acids; and the oils (and their fatty acids) such as canola, rapeseed, castor, dehydrated castor, coconut, coffee, corn, cottonseed, fish, lard, linseed, oticica, palm kernal, peanut, perilla, safflower, soya, sunflower, tallow, tung, walnut, vernonia, tall and menhaden oils; and blends and mixtures of natural and synthetic oils and fatty acids, particularly those oils and fatty acids with high iodine numbers.

Representative anhydrides include, phthalic anhydride, 3-nitrophthalic anhydride, 4-nitrophthalic anhydride, 3-fluorophthalic anhydride, 4-chlorophthalic anhydride, tetrachlorophthalic anhydride, tetra bromophthalic anhydride, tetrahydrophthalic anhydride, hexahydro phthalic anhydride, methylhexahydrophthalic anhydride, succinic anhydride, dodecenylsuccinic anhydride, octylsuccinic anhydride, maleic anhydride, dichloromaleic anhydride, glutaric anhydride, adipic anhydride, chlorendic anhydride, itaconic anhydride, citraconic anhydride, endo-methylenetetrahydrophthalic anhydride, cyclohexane-1,2-dicarboxylic anhydride, 4-cyclohexene-1,2-dicarboxylic anhydride, 4-methyl-4-cyclohexene-1,2-dicarboxylic anhydride, 5-norbornene-2,3-dicarboxylic anhydride, 1,4-cyclohexadiene-1,2-dicarboxylic anhydride, 1,3-cyclopentanedicarboxylic anhydride, diglycolic acid anhydride, and the like.

Other useful anhydrides include those anhydrides having a free carboxyl group in addition to the anhydride group such as trimellitic anhydride, aconitic anhydride, 2,6,7-naphthalene tricarboxylic anhydride, 1,2,4-butane tricarboxylic anhydride, 1,3,4-cyclopentane tricarboxylic anhydride, and the like.

It should be appreciated that other acids and anhydrides should be considered equivalents of those named herein.

The acid- or anhydride functional material will generally have number average molecular weight below about 2000. Preferably the acid- or anhydride-functional material will have a number average molecular weight of below about 400. Typical number average molecular weights of these materials will range from about 96 to about 400.

Especially preferred acids and anhydrides include the vegetable fatty acids described above and trimellitic anhydride.

c. High and Low Acid Value Products using the Alcoholysis Reaction Products

As stated above, the alcoholysis reaction products are further reacted with acid or anhydride functional materials to produce either high or low acid value products. For purposes of the present invention, the term, "high acid value" is meant to be those compositions having acid values greater than about 30. The term "low acid value" is meant to be those compositions having acid values lower than about 20. Compositions having acid values between about 20 and about 30 tend to exhibit characteristics of both high and low acid value products and, thus, are not categorized as either high acid value or low acid value, although it should be appreciated that with some trial and error such composition may be acceptable in either category.

As a guideline, in order to formulate an alcoholysis reaction product to a high acid value of between about 55 and about 65, the following stoichiometric proportions (in moles of equivalents) of materials should be used. For each mole of PET used, from about 1.1 to about 1.5 moles of OH should be used in the alcoholysis reaction, followed by further reaction with about 1.0 to about 1.2 moles of acid or anhydride. Preferably, the moles of OH to PET should be about 1.15:1 to about 1.35:1 and the moles of acid/anhydride to PET should be about 1.0:1 to about 1.10:1 for such higher acid value products.

In order to formulate an alcoholysis reaction product to a low acid value of less than about 20, the following stoichiometric proportions (in moles of equivalents) of materials should be used. For each mole of PET used, from about 1.0 to about 1.35 moles of OH should be used in the alcoholysis reaction,

followed by further reaction with about 0.50 to about 0.75 moles of acid or anhydride. Preferably, the moles of OH to PET should be about 1:1 to about 1.25:1 and the moles of acid/anhydride to PET should be about 0.50:1 to about 0.65:1.

d. Final Coating Products

The products of Section 2.c. can be used by themselves, in combination with other well known coatings additives, including pigments, flow agents, catalysts, diluents, solvents, ultraviolet light absorber, and the like, or can be further mixed, reacted or modified as described below.

The high acid value products (that is, acid values greater than about 30) of Section 2.c., or such products in combination with the above-described additives, can be dispersed or reduced in water once neutralized with a weak base solution such as a tertiary amine in water. Neutralization techniques are well known in the coatings art. In a preferred embodiment, the high acid value products of Section 2.c. can be reduced in water and thereafter serve as the stabilizing media for the emulsion polymerization of acrylic and other ethylenically unsaturated monomers, including acrylic addition monomers, oligomers and polymers; particularly one or more alkyl esters of acrylic acid or methacrylic acid; optionally together with one or more other ethylenically unsaturated monomers.

Suitable acrylic esters include methyl (meth)acrylate, ethyl (meth)acrylate, propyl (meth)acrylate, butyl (meth)acrylate, hydroxy ethyl (meth)acrylate, 2-ethyl hexyl (meth)acrylate, acrylonitrile, acrylamide, vinyl polymers such as polymers of vinyl esters of inorganic or organic acids, including vinyl chloride, vinyl acetate, vinyl propionate, vinyl toluene, etc., styrene, and mixtures thereof.

Emulsion polymerization reaction conditions are well known in the art and can include the procedures taught in U.S. Patent 4,116,903, incorporated herein by reference as well as the procedures taught in the Examples below.

The low acid value products (that is, acid values less than about 20) of such section, or such products in combination with the above-described additives, can be reduced in solvents such as xylene, toluene, benzene, mineral spirits and the like. Such products can then be allowed to air dry or forced to dry by baking as is well known in the art. A melamine, or equivalent, agent would preferably be added to facilitate drying in the bake dry systems. In a preferred embodiment, the low acid value products of Section 2.c. can be directly modified with acrylic monomers, oligomers and polymers to produce air dry, bake and water-reducible coatings.

Suitable acrylic monomers, oligomers and polymers include those acrylic, vinylic and ethylenically unsaturated materials taught to be useful with the high acid value products as well as the acrylic acids themselves such as acrylic acid, methacrylic acid and itaconic acid.

In another preferred embodiment, either the high or the low acid value products of Section 2.c. can be further modified by direct acrylic modification. Direct acrylic modification is typically conducted under conditions also well known in the art, including the procedures taught in U.S. Patents 4,735,995 and 4,873,281, incorporated herein by reference, as well as by the procedures taught in the Examples below.

When acrylally modifying the low acid value products, the incorporation of a high level of acid-functional acrylic materials will enable the final, acrylic-modified coating product to be reducible in water or other aqueous systems. Generally, amounts of acid-functional acrylic materials greater than about 1.0% by weight of the total amount of acrylic and other ethylenically unsaturated materials will result in a coating composition which is water reducible. Amounts less than the above will generally result in coatings which are not water reducible.

The coatings of this invention may typically be applied to any substrate such as metal, plastic, wood, and glass, by brushing, dipping, roll coating, flow coating, spraying or other method conventionally employed in the coating industry.

Representative opacifying pigments include white pigments such as titanium dioxide, zinc oxide, antimony oxide, etc. and organic or inorganic chromatic pigments such as iron oxide, carbon black, phthalocyanine blue, etc. The coatings may also contain extender pigments such as calcium carbonate, clay, silica, talc, etc.

The following examples have been selected to illustrate specific embodiments and practices of advantage to a more complete understanding of the invention. Unless otherwise stated, "parts" means parts-by-weight and "percent" is percent-by-weight.

EXAMPLE I: ALCOHOLYSIS OF PET

A high acid value, water reducible resin was prepared according to the following procedure:

A 31, 4-necked round bottom flask equipped with inert gas, mechanical stirrer, Barrett tube and Friedrich's condenser was charged with 542.6g of polyethylene terephthalate, 735.3g of trimethylolpropane

diallyl ether, 5.3g of dibutyl tin oxide catalyst and 37g xylene. The contents were heated to 460F and held until all contents had melted and a clear solution was obtained. The solution was cooled to 325F and 290.4g Of trimelletic anhydride, 344.4g dehydrated castor oil fatty acid and 65.8g linseed oil fatty acid were added. The contents were heated to 470F and held for an acid value of between 60-65 and a viscosity less than 10,000cps at 90% in propylene glycol monopropyl ether. Once reached, heat was removed and the contents allowed to cool. The final resin product has an NVM of 89.85, a viscosity of 5300cps (using Brookfield LVT#3, 12rpm), acid value of 62, Mz of 4289, Mw of 1941, Mn of 1036 and Pd of 1.87.

The resin was further reduced in water by combining the resin, water and triethylamine in the following amounts:

- 65g of resin
- 65g of water
- 6.1g of triethylamine.

EXAMPLE II: ALCOHOLYSIS OF PET

A high acid value, water reducible resin was prepared according to the following procedure:

A 31, 4-necked round bottom flask equipped with inert gas, mechanical stirrer, Barrett tube and Friedrich's condenser was charged with 542.6g of polyethylene terephthalate, 735.3g of trimethylolpropane diallyl ether, 5.3g of dibutyl tin oxide catalyst and 55g xylene. The contents were heated to 460F and held until all contents had melted and a clear solution was obtained. The solution was cooled to 325F and 290.0g of trimelletic anhydride and 409.5g of high content linoleic fatty acid (Prifac 8960) were added. The contents were heated to 470F and held for an acid value of between 80-85. Once reached, heat was removed and the contents allowed to cool. The final resin product had an NVM Of 95.25, a viscosity of 18,600cps (using Brookfield LVT#3, 12rpm), acid value of 82, Mz of 3649, Mw of 1643, Mn of 919 and Pd of 1.79.

EXAMPLE III: ALCOHOLYSIS OF PET

A low acid value resin was prepared according to the following procedure:

A 31, 4-necked round bottom flask equipped with inert gas, mechanical stirrer, Barrett tube and Friedrich's condenser was charged with 1015.7g of polyethylene terephthalate, 414.8g of propylene glycol, 90g of trimethylolpropane diallyl ether, 5.5g of dibutyl tin oxide catalyst and 40g xylene. The contents were heated to 460F and held until all contents had melted and a clear solution was obtained. The solution was cooled to 325F and 59.0g of trimelletic anhydride was added. The contents were heated to 470F and held for an acid value of less than 10. Once reached, heat was removed and the contents allowed to cool. The final resin product had an NVM of 89.5, a viscosity greater than 20,000cps (using Brookfield LVT#3, 12rpm), acid value of 4.1, Mz of 2180, Mw of 1346, Mn of 847 and Pd of 1.59.

EXAMPLE IV: ACRYLIC EMULSION POLYMERIZATION

900g of a resin prepared according to the procedure of Example I(39% NVM in water) was charged to a reaction vessel and heated to about 80C. Added to the vessel over a 3.5 hour period was 97.5g of methyl methacrylate and 52.5g of butyl acrylate. A second feed of 0.5g of ammonium persulfate in 100g water was added over the same time period. Upon complete addition of both feeds, a chase of 0.5g ammonium persulfate in 30g water was added over a 1.5 hour time period. Heat was removed and the contents of the vessel filtered. The resultant composition had a pH of 7.4, an NVM of 39% and a viscosity of 2600cps (Brookfield LVT#3, 12rpm). Addition of triethyl amine caused the composition to have a pH of 9.3, NVM of 39%, and viscosity of 160cps. Free methyl methacrylate content was 1.5% and mean volume diameter of the emulsion polymers was 0.075 micron.

EXAMPLE V: COATING FORMULA FROM EXAMPLE IV

The composition of Example IV was formulated into a water-based coating as follows:

- 100.0g Composition of Ex. IV
- 100.0 Water
- 2.0 NH4OH
- 6.0 Rheology Modifier
- 1.0 12% Cobalt Catalyst
- 1.0 Defoamer

EXAMPLE VI: DIRECT ACRYLIC MODIFICATION

400g of the resin of Example III and 150g of Propasol (propylene glycol monobutyl ether) were charged to a reaction vessel and heated to about 140C. Added to the vessel over a 3.5 hour period was 286g of methyl methacrylate, 43g of methacrylic acid, 29g of styrene, 50g of ethyl hexyl acrylate. A second feed of 9g of t-butyl perbenzoate and 100g of Propasol was added over the same time period. Upon complete addition of both feeds, a chase of 1.9g t-butyl perbenzoate in 20g of Propasol was added over a 1.5 hour time period. Heat was removed and the contents of the vessel filtered.

10 EXAMPLE VII: DISPERSION IN WATER

The composition of Example VI was added to 450g of water and 35.0g of triethylamine. It had an NVM of 27.7%, a pH of 8.25 and a viscosity of 29,000cps (Brookfield LVT#3, 6 rpm)

15 EXAMPLE VIII: AIR DRY COATING FORMULA

A resin prepared according to the procedure of Example I can be formulated to a water-based air dry coating as follows:

20	40.0g	Resin of Example I
	50.0	Water
	21.0	2-butoxyethoxy ethanol (Butyl Carbitol)
	10cc	NH4OH
	Adjust pH to 9.3	
25	Premix	0.13g 12% Cobalt Catalyst
		2.0 10% Calcium Drier
		3.9 Butyl Carbitol
	Add Premix to above	

30

EXAMPLE IX : BAKE DRY ENAMEL FORMULA

A resin prepared according to the procedure of Example I can be formulated to a bake dry coating having PVC 18.5, NVM 49.7, weight per gallon 10.35 lbs/gal and VOC of 1.95 lbs/gal as follows:
In a high speed disperser, grind the following:

40	108.1g	Resin of Example I
	26.5	Propasol P
	6.4	Dimethylethanolamine
	Run to 7H (Hegman Grind)	
	Add	222.5 Rutile titanium dioxide
		35.0 Water
45	Stabilize	144.1 Water
	Thindown	118.6 Resin of Example I
		21.6 Propasol P
		88.0 Melamine
		6.9 Dimethylethanolamine
50		
	11.7	2-butoxy ethanol (Butyl Cellosolve)
	246.8	Water

55

Claims

1. An aqueous coating composition comprising the reaction product of:
 - a. polyethylene terephthalate with an alcohol in the presence of a catalyst;
 - b. followed by the reaction of the product of step a with a member of the group consisting of acid- and anhydride-functional materials;
 wherein the resultant composition has an acid value greater than about 30; and wherein subsequent to the reaction of step b the resultant composition is neutralized with a weak base and added to water.
2. The coating composition of Claim 1 wherein the alcohol is selected from the group consisting of mono-functional alcohols, diols and triols having number average molecular weights of below about 4000.
3. The coating composition of Claim 2 wherein the alcohol is selected from the group consisting of:
 - a) the C1-C22 linear and branched saturated and unsaturated alcohols including methanol, ethanol, propanol, butanol, hexanol, linoleyl alcohol, trimethylolpropane diallyl ether, allyl alcohol, 2-mercapto ethanol and mixtures thereof;
 - b) saturated and unsaturated polyols including glycerol, castor oil, ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenehexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolethane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol, and mixtures thereof;
 - c) Polyether polyols prepared by the reaction of a diol or polyol with an alkylene oxide, including polypropylene ether glycols and polyethylene ether glycols;
 - d) polyesters prepared by the condensation of polyhydric alcohols and polycarboxylic acids or anhydrides, with or without the inclusion of drying oil, semi-drying oil, or non-drying oil fatty acids while maintaining an excess of hydroxyl groups;
 - e) hydroxy-functional polymers prepared by the ring opening reaction of epoxides and/or polyepoxides with primary or, preferably, secondary amines or polyamines;
 - f) hydroxy-functional urethane polymers prepared by the reaction of an excess of at least one alcohol with isocyanates.
4. The coating composition of Claim 2 wherein for each mole of polyethylene terephthalate, from about 1.1 to about 1.5 moles of hydroxy functionality and from about 1.0 to about 1.2 moles of acid/anhydride functionality are present.
5. The coating composition of Claim 3 wherein the alcohol is selected from the group consisting of mono-functional alcohols including trimethylolpropane diallyl ether and allyl alcohol; diols and triols including ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenehexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolethane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol; and mixtures thereof.
6. The coating composition of Claim 5 wherein the alcohol is selected from the group consisting of trimethylolpropane diallyl ether, propylene glycol, ethylene glycol, diethylene glycol, and mixtures thereof.

7. The coating composition of Claim 1 wherein the acid- and anhydride-functional materials are selected from the group consisting of mono-functional acids, including benzoic, crotonic and sorbic acids; acids having an acid functionality on average of at least two, including phthalic acid, succinic acid, adipic acid, azelaic acid, maleic acid, fumaric acid, trimellitic acid, trimesic acid, naphthalene dicarboxylic acids, carboxy-terminated polybutadiene, benzophenone tetracarboxylic dianhydride, 4,4'-dicarboxy diphenoxy ethane, hydroxy carboxylic acids of piralactone; saturated acids, including butyric, caproic, caprylic, capric, lauric, myristic, palmitic, stearic, 12-hydroxystearic, arachidic, bohenic and lignoceric acids; unsaturated acids, including palmitoleic, oleic, ricinoleic, linoleic, linolenic, eleostearic, liscaric, gadoleic and eracic acids; oils (and their fatty acids) including canola, rapeseed, castor, dehydrated castor, coconut, coffee, corn, cottonseed, fish, lard, linseed, oticica, palm kernal, peanut, perilla, safflower, soya, sunflower, tallow, tung, walnut, vernonia, tall and menhaden oils; blends and mixtures of natural and synthetic oils and fatty acids, particularly oils and fatty acids with high iodine numbers; anhydrides, including, phthalic anhydride, 3-nitrophthalic anhydride, 4-nitrophthalic anhydride, 3-fluorophthalic anhydride, 4-chlorophthalic anhydride, tetrachlorophthalic anhydride, tetra bromophthalic anhydride, tetrahydrophthalic anhydride, hexahydro phthalic anhydride, methylhexahydrophthalic anhydride, succinic anhydride, dodecenylsuccinic anhydride, octylsuccinic anhydride, maleic anhydride, dichloromaleic anhydride, glutaric anhydride, adipic anhydride, chlorendic anhydride, itaconic anhydride, citraconic anhydride, endo-methylenetetrahydrophthalic anhydride, cyclohexane-1,2-dicarboxylic anhydride, 4-cyclohexene-1,2-dicarboxylic anhydride 4-methyl-4-cyclohexene-1,2-dicarboxylic anhydride, 5-norbornene-2,3-dicarboxylic anhydride, 1,4-cyclohexadiene-1,2-dicarboxylic anhydride, 1,3-cyclopentanedicarboxylic anhydride, diglycolic acid anhydride; anhydrides having a free carboxyl group in addition to the anhydride group, including trimellitic anhydride, aconitic anhydride, 2,6,7-naphthalene tricarboxylic anhydride, 1,2,4-butane tricarboxylic anhydride, 1,3,4-cyclopentane tricarboxylic anhydride; and mixtures thereof.
8. The coating composition of Claim 7 wherein the acid- and anhydride-functional materials are selected from the group consisting of the vegetable fatty acids of Claim 7 and trimellitic anhydride.
9. A coating composition comprising:
- a. the reaction product of polyethylene terephthalate with an alcohol in the presence of a catalyst;
 - b. wherein the composition of step a is further reacted with a member of the group consisting of acid- and anhydride-functional materials to produce a composition having an acid value greater than about 30;
 - c. wherein the composition of step b is neutralized with a weak base and added to water;
 - d. and, wherein acrylic and other ethylenically unsaturated monomers are subsequently polymerized in the presence of the composition of step c.
10. The coating composition of Claim 9 wherein the alcohol is selected from the group consisting of mono-functional alcohols, diols and triols having number average molecular weights of below about 4000.
11. The coating composition of Claim 10 wherein the alcohol is selected from the group consisting of:
- a) the C1-C22 linear and branched saturated and unsaturated alcohols including methanol, ethanol, propanol, butanol, hexanol, linoleyl alcohol, trimethylolpropane diallyl ether, allyl alcohol and mixtures thereof;
 - b) saturated and unsaturated polyols including glycerol, castor oil, ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenhexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolethane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol, and mixtures thereof;
 - c) Polyether polyols prepared by the reaction of a diol or polyol with an alkylene oxide, including polypropylene ether glycols and polyethylene ether glycols;
 - d) polyesters prepared by the condensation of polyhydric alcohols and polycarboxylic acids or anhydrides, with or without the inclusion of drying oil, semi-drying oil, or non-drying oil fatty acids

while maintaining an excess of hydroxyl groups;

e) hydroxy-functional polymers can be prepared by the ring opening reaction of epoxides and/or polyepoxides with primary or, preferably, secondary amines or polyamines;

f) hydroxy-functional urethane polymers prepared by the reaction of an excess of at least one alcohol with isocyanates.

12. The coating composition of Claim 11 wherein for each mole of polyethylene terephthalate, from about 1.1 to about 1.5 moles of hydroxy functionality and from about 1.0 to about 1.2 moles of acid/anhydride functionality are present.

13. The coating composition of Claim 12 wherein the alcohol is selected from the group consisting of mono-functional alcohols including trimethylolpropane diallyl ether and allyl alcohol; diols and triols including ethylene glycol, dipropylene glycol, 2,2,4-trimethyl 1,3-pentanediol, neopentyl glycol, 1,2-propanediol, 1,3-propanediol, 1,4-butanediol, 1,3-butanediol, 2,3-butanediol, 1,5-pentanediol, 1,6-hexanediol, 2,2-dimethyl-1,3-propanediol, 1,4-cyclohexanedimethanol, 1,2-cyclohexanedimethanol, 1,3-cyclohexanedimethanol, 1,4-bis(2-hydroxyethoxy)cyclohexane, trimethylene glycol, tetra methylene glycol, pentamethylene glycol, hexamethylene glycol, decamethylene glycol, diethylene glycol, triethylene glycol, tetraethylene glycol, norbornylene glycol, 1,4-benzenedimethanol, 1,4-benzenediethanol, 2,4-dimethyl-2-ethylenehexane-1,3-diol, 2-butene-1,4-diol, and polyols such as trimethylolethane, trimethylolpropane, trimethylolpropane monoallyl ether, trimethylolhexane, triethylolpropane, 1,2,4-butanetriol, glycerol, pentaerythritol, dipentaerythritol; and mixtures thereof.

14. The coating composition of Claim 13 wherein the alcohol is selected from the group consisting trimethylolpropane diallyl ether, propylene glycol, ethylene glycol, diethylene glycol, and mixtures thereof.

15. The coating composition of Claim 9 wherein the acid- and anhydride-functional materials are selected from the group consisting Of mono-functional acids, including benzoic, crotonic and sorbic acids; acids having an acid functionality on average of at least two, including phthalic acid, succinic acid, adipic acid, azelaic acid, maleic acid, fumaric acid, trimellitic acid, trimesic acid, naphthalene dicarboxylic acids, carboxy-terminated polybutadiene, benzophenone tetracarboxylic dianhydride, 4,4'-dicarboxy diphenoxy ethane, hydroxy carboxylic acids of piralactone; saturated acids, including butyric, caproic, caprylic, capric, lauric, myristic, palmitic, stearic, 12-hydroxystearic, arachidic, behenic and lignoceric acids; unsaturated acids, including palmitoleic, oleic, ricinoleic, linoleic, linolenic, eleostearic, liscaric, gadoleic and eracic acids; oils (and their fatty acids) including canola, rapeseed, castor, dehydrated castor, coconut, coffee, corn, cottonseed, fish, lard, linseed, oticica, palm kernal, peanut, perilla, safflower, soya, sunflower, tallow, tung, walnut, vernonia, tall and menhaden oils; blends and mixtures of natural and synthetic oils and fatty acids, particularly oils and fatty acids having high iodine numbers; anhydrides, including, phthalic anhydride, 3-nitrophthalic anhydride, 4-nitrophthalic anhydride, 3-fluorophthalic anhydride, 4-chlorophthalic anhydride, tetrachlorophthalic anhydride, tetra bromophthalic anhydride, tetrahydrophthalic anhydride, hexahydro phthalic anhydride, methylhexahydrophthalic anhydride, succinic anhydride, dodecenylsuccinic anhydride, octylsuccinic anhydride, maleic anhydride, dichloromaleic anhydride, glutaric anhydride, adipic anhydride, chlorendic anhydride, itaconic anhydride, citraconic anhydride, endo-methylenetetrahydrophthalic anhydride, cyclohexane-1,2-dicarboxylic anhydride, 4-cyclohexene-1,2-dicarboxylic anhydride, 4-methyl-4-cyclohexene-1,2-dicarboxylic anhydride, 5-norbornene-2,3-dicarboxylic anhydride, 1,4-cyclohexadiene-1,2-dicarboxylic anhydride, 1,3-cyclopentanedicarboxylic anhydride, diglycolic acid anhydride; anhydrides having a free carboxyl group in addition to the anhydride group, including trimellitic anhydride, aconitic anhydride, 2,6,7-naphthalene tricarboxylic anhydride, 1,2,4-butane tricarboxylic anhydride, 1,3,4-cyclopentane tricarboxylic anhydride; and mixtures thereof.

16. The coating composition of Claim 15 wherein the acid- and anhydride-functional materials are selected from the group consisting of the vegetable fatty acids of Claim 7 and trimellitic anhydride.

17. The coating composition of Claim 9 wherein the acrylic and other ethylenically unsaturated monomers are selected from the group consisting of acrylic esters, including methyl (meth)acrylate, ethyl (meth)acrylate, propyl (meth)acrylate, butyl (meth)acrylate, hydroxy ethyl (meth)acrylate, and 2-ethyl hexyl (meth)acrylate; acrylonitrile; acrylamide; vinyl polymers including polymers of vinyl esters of inorganic

or organic acids, including vinyl chloride, vinyl acetate, vinyl propionate, vinyl toluene; styrene; acrylic acids, including acrylic acid, methacrylic acid and itaconic acid; and mixtures thereof.

5

10

15

20

25

30

35

40

45

50

55